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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/121,702	07/24/98	BLACK	0 01690670183

0242/0825
FOLEY & LARDNER
3000 K STREET NW SUITE 500
P O BOX 25696
WASHINGTON DC 20007-8696

NOT ASSIGNED

3744

10-25-98
DATE MAILED:

08/25/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 990.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

BEST AVAILABLE COPY

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2:105
C:101

SECTOR
#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 016906/0183

In re Patent Application of

Oliver BECK et al.

Serial No. 09/121,702

ATTN: Application Division

Filed: July 24, 1998

For: HEATING OR AIR-CONDITIONING SYSTEM FOR A MOTOR
VEHICLE

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed August 25, 1998, attached hereto are the following to complete the formal filing requirements in the above-identified application:

- xx Declaration and Power of Attorney signed by each Inventor. The attached specification is a copy of the specification and any amendments thereto which were filed in the U.S. Patent and Trademark Office in order to obtain a filing date for the application.
- xx Check in the amount of \$960.00 in payment of the \$790.00 official Government filing fee, including \$130.00 surcharge, and \$40.00 Assignment recordation fee.
- ____ Small Entity Declaration signed by or on behalf of each individual, concern or organization having rights in the subject invention.
- xx Assignment to BEHR GmbH & CO. with transmittal letter and check covering recordation fee. (recordation fee of \$40 included in attached check).

Serial No.

_____ Claim for Convention Priority with certified copy of the priority document.

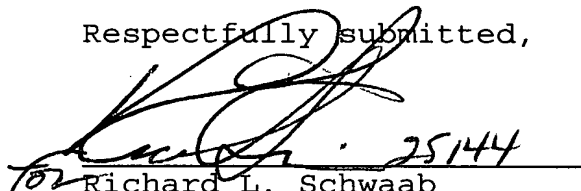
xx A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed August 25, 1998.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0741.

Receipt is now awaited by Applicants of the official Filing Receipt confirming the above-noted filing date and Serial Number.

Respectfully submitted,

September 8, 1998


for Richard L. Schwaab
Reg. No. 25,479

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